U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY **PATENT APPLICATION** TRANSMITTAL

Attorney Docket No. 5632-000466 First Inventor Miichael T. Willard CONVERTIBLE BACKLITE CONTROL SYSTEM Title

Express Mail Label No. EL 741 091 190 US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Mail Stop Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) з. 🔯 a. Computer Readable Form (CRF) Specification [Total Pages (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Specification - Cross References to Related Applications filed in English ii. D paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🛛 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🗌 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. ☐ Copies of IDS Drawing(s) (35 U.S.C.113) [Total Sheets 7 Information Disclosure Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 1 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) 14. 🔯 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. \square Request and Non Publication under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional Continuation-in-part (CIP) of prior application No: ___ Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS □ Correspondence address below □ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Harness, Dickey & Pierce, P.L.C. Name P.O. Box 828 Address City **Bloomfield Hills** MI 48303 State Zip Code Country United States of America Telephone 248-641-1600 248-641-0270 Name (Print/Type) Monte L. Falcoff Registration No. (Attorney/Agent) 37.617

Signature July <u>J4</u>, 2003

PTO/SB/17 (01-03)

Approved for use through 10/31/2002. OMB 0651-0032

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FEE TO AMONDITTAL	Complete if Known					
FEE TRANSMITTAL	Application Number					
for FY 2003	Filing Date					
Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27	First Named Inventor	M. Willard				
	Examiner Name					
	Group / Art Unit					
TOTAL AMOUNT OF PAYMENT (\$) 1174	Attorney Docket No.	5362-000466				

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)				
	3. ADDITIONAL		L FEES					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		Large Entity Small Entity						
Deposit Account:	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Deposit So-0275	1051	130	2051	65	Surcharge - late filing fee or oath			
Number	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit	1053	130	1053	130	Non-English specification			
Account ASC Incorporated		2,520	1812	2,520	For filing a request for reexamination			
Name	1804	920*	1804	920°	Requesting publication of SIR prior to			
The Commissioner is authorized to: (check all that apply) ☑ Charge fee(s) indicated below ☑ Credit any overpayments	1805	1,840°	1805	1,840*	Examiner action Requesting publication of SIR after			
☐ Charge any additional fee(s) during the pendency of this application					Examiner action			
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month			
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month			
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month			
Large Entity Small Entity	1254	1,450	2254	725	Extension for reply within fourth month			
Fee Fee Fee Fee <u>Fee Description</u>	1255	1,970	2255	985	Extension for reply within fifth month			
1001 750 2001 375 Utility filling fee 750	1401	320	2401	160	Notice of Appeal			
1002 330 2002 165 Design filing fee	1402	320	2402	160	Filing a brief in support of an appeal			
1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing			
1004 750 2004 375 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable			
SUBTOTAL (1) (\$) 750	1453	1,300	2453	650	Petition to revive - unintentional			
(10) 700	1501	1,300	2501	650	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES	1502	470	2502	235	Design issue fee			
Extra Fee from Fee	1503	630	2503	315	Plant issue fee			
Claims below Paid Total Claims 32 -20 ** = 12 X 18 = 216	1460	130	1460	130	Petitions to the Commissioner			
Independent	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)			
Claims 5 -3 ** = 2 X 84 = 168	1806	180	1806	180	Submission of Information Disclosure Stmt			
Multiple Dependent Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40		
Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))			
1202 18 2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be	\vdash		
1201 84 2201 42 Independent claims in excess of 3	1010	750	2010	575	examined (37 CFR § 1.129(b))			
1203 280 2203 140 Multiple dependent claim, if not paid	1801	750	0004					
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750 900	2801 1802	375 900	Request for Continued Examination (RCE) Request for expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	of a design application							
SUBTOTAL (2) (\$) 384	Other fee (specify)							
SUBTOTAL (2) (5) 584	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40							
**or number previously paid, if greater; For Reissues, see above								

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Monte L. Falcoff	Registration No. Attorney/Agent)	37,617	Telephone	(248) 641-1600	
Signature	aft	Co		Date	July 14, 2003	